

COMPLAINT FORM

Lightning Stock Exchange (LISE) · Regulated Market DLT TSS - MIC

LISE members are invited to download this form, complete it carefully, and return it signed by email to:
support@lise.com

1 COMPLAINANT

1.a — Personal Information of the Complainant

This information is automatically populated from the logged-in client's data.

Last Name / Legal Entity Name	(automatically populated)
First Name	(automatically populated)
Registration Number and LEI (if applicable)	(automatically populated)
Address: Street, Number, Floor (registered office for companies)	(automatically populated)
Postal Code	(automatically populated)
City	(automatically populated)
Country	(automatically populated)
Telephone	(automatically populated)
Email	(automatically populated)

1.b — Contact Details (if different from 1.a)

If the complainant is not acting on their own behalf, they are invited to contact support at support@lise.com, who will send this form along with the required supporting documents (power of attorney or other relevant document). The contact details of the client concerned must also be provided.

Last Name / Legal Entity Name	
First Name	
Address: Street, Number, Floor (registered office for companies)	
Postal Code	
City	
Country	
Telephone	
Email	

2 LEGAL REPRESENTATIVE (if applicable)

2.a — Personal Information of the Legal Representative

A power of attorney or other official document evidencing the appointment of the representative must be attached. This information is automatically populated from the logged-in client's data.

Last Name / Company Name	<i>(automatically populated)</i>
First Name	<i>(automatically populated)</i>
Registration Number and LEI (if applicable)	<i>(automatically populated)</i>
Address: Street, Number, Floor (registered office for companies)	<i>(automatically populated)</i>
Postal Code	<i>(automatically populated)</i>
City	<i>(automatically populated)</i>
Country	<i>(automatically populated)</i>
Telephone	<i>(automatically populated)</i>
Email	<i>(automatically populated)</i>

2.b — Contact Details (if different from 2.a)

If the complainant is not the usual legal representative of the user legal entity, they are invited to contact support at support@lise.com, who will send this form along with the required supporting documents (power of attorney or other relevant document). The contact details of the client concerned must also be provided.

Last Name / Legal Entity Name	
First Name	
Address: Street, Number, Floor (registered office for companies)	
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City	
Country	
Telephone	
Email	

3	COMPLAINT INFORMATION
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3.a — Investment or Contract Reference

Investment reference number, issuer name, relevant transaction references...

3.b — Description of the Subject Matter of the Complaint

Please clearly specify the subject matter of the complaint and attach any documents supporting the facts stated.

3.c — Date(s) of the Events Giving Rise to the Complaint

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3.d — Description of Damages, Losses, or Harm Caused (if applicable)

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3.e — Other Comments or Relevant Information (if applicable)

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Done at _____ on _____

SIGNATURE — Complainant / Legal Representative <i>(for complaints not submitted online)</i>	STAMP (if applicable)

DOCUMENTATION PROVIDED

Please check the corresponding boxes:

- Power of attorney or other relevant document
- Copy of contractual documents for the investments to which the complaint relates
- Other documents supporting the request: